

温州肯恩大学文件

温肯大发〔2021〕68号

关于印发《温州肯恩大学国家自然科学基金项目经费使用包干管理办法（试行）》的通知

各部门、各学院：

《温州肯恩大学国家自然科学基金项目经费使用包干管理办法（试行）》已在2021年第八十八次校务会上通过，现印发给你们，请遵照执行。

特此通知。

附件：温州肯恩大学国家自然科学基金项目经费使用包干管理办法（试行）

温州肯恩大学

2021年11月24日

温州肯恩大学 国家自然科学基金项目经费使用包干 管理办法（试行）

第一章 总则

第一条 为积极营造健康有序的科研氛围，充分激发科研人员创新创造活力，根据《财政部 国家自然科学基金委员会关于印发〈国家自然科学基金资助项目资金管理办法〉的通知》（财教〔2021〕177号）文件精神，结合学校实际，特制定本办法。

第二章 项目试点范围

第二条 本办法所适用的科研项目为：

自2021年起立项的国家自然科学基金委员会青年科学基金项目、优秀青年科学基金项目和国家杰出青年科学基金项目。

第三章 项目负责人承诺制

第三条 项目负责人是科研经费使用的直接责任人。项目负责人需签署承诺书，承诺尊重科研规律，弘扬科学家精神，遵守科研伦理道德和作风学风诚信要求，认真开展科学研究工作；承诺项目经费全部用于与本项目研究工作相关的支出，不得截留、挪用、侵占，不得用于与科学研究无关的支出。

第四章 项目经费使用管理

第四条 项目经费不再分为直接费用和间接费用，项目

资助强度为原直接费用和间接费用之和。项目负责人提交计划书时，无需编制项目预算。项目资金由项目负责人自主决定使用，按照本办法第五条规定的开支范围列支。有关管理费用的补助支出、绩效支出参照学校相关科研经费管理办法执行。其余用途经费无额度限制，由项目负责人根据实际需要自主决定使用。

第五条 经费使用范围限于设备费、材料费、测试化验加工费、燃料动力费、差旅/会议/国际合作与交流费、出版/文献/信息传播/知识产权事务费、劳务费、专家咨询费、学校管理费用、绩效支出以及其他合理支出。

第五章 监督检查

第六条 项目结题时，项目负责人根据实际使用情况编制项目经费决算，经财务部、科研办审核后，报自然科学基金委。学校应当在内部公开非涉密项目立项、主要研究人员、资金使用（间接费用、外拨资金、结余资金使用等）、决算、大型仪器设备购置以及项目研究成果等情况，接受内部监督。

第七条 项目负责人应接受并积极配合国家自然科学基金委员会、学校或其委托的第三方机构，依据国家有关规定及项目计划书等对科研经费的管理和使用进行监督检查。

第八条 对不履行科研经费管理责任、违规使用科研经费的项目负责人，按照相关法律、法规严肃处理，并纳入科研诚信不良名单。

第六章 附则

第九条 本管理办法自发布之日起开始实行，由科研办负责解释。

**Wenzhou-Kean University Special Regulations on
Funding for National Natural Science Foundation of China Research Programs
(Interim)**

Chapter I General Principals

Article 1. To actively foster a healthy and orderly research atmosphere and stimulate the innovation and creativity of researchers, this Regulation is formulated according to *Notice on Carrying out the Regulations on National Natural Science Foundation of China Research Program Fund (Ministry of Finance, Ministry of Education [2021] No.177)* and with a combination of the actual research situation of Wenzhou-Kean University.

Chapter II Scope of Research Project

Article 2. The Regulation is only effective for the following projects: Approved National Natural Science Foundation of China Research Program including Young Scientists Fund, Fund for Distinguished Young Scholars, Excellent Young Scientists Fund, starting since 2021.

Chapter III Principal Investigator's Responsibility

Article 3. The principal investigator of the project is the person directly responsible for the use of research funds. The principal investigator shall sign a letter of commitment, promising to respect the law of research, carry forward the spirit of scientists, abide by the ethics of research and academic integrity, and earnestly carry out research work. The principal investigator shall promise that the project funds will be fully used for the expenditures related to the research work of the project instead of retaining, embezzlement or expenditures unrelated to research.

Chapter IV Management on the Research Fund

Article 4. The fund budget is no longer divided into direct costs and indirect costs. The project fund is the sum of the original direct costs and indirect costs. The budget specification in the project application form and contract is no longer required. The project fund shall be determined and used independently by the principal investigator, and shall be listed and disbursed in accordance with the expenditure scope stipulated in Article 5. Management expenses and performance-related payment shall be implemented in accordance with the University's relevant research funding regulations. There is no limit on the funds for other purposes, and the principal investigator shall decide to use them according to actual needs.

Article 5. The use of funds covers equipment fee, material fee, testing and processing fee, fuel and power fee, travel/conference/international cooperation and exchange fee, publication/literature/information dissemination/intellectual property related fee, labor service fee, expert consultation fee, university administrative cost, performance-related payment and other reasonable expenses.

Chapter V Supervision and Inspection

Article 6. When the project is ready for closing and report submission, the principal investigator shall prepare the final list of the budget used according to the actual use, and report it to National Natural Science Foundation of China after review from the Finance Department and Office of Research and Sponsored Programs. The University shall internally disclose the approval of non-secret projects, main researchers, use of funds (indirect expenses, external funds, use of surplus funds, etc.), final accounts, purchase of large-scale equipment and rig, and project research results, and accept internal supervision.

Article 7. The principal investigator shall accept and actively cooperate with the National Natural Science Foundation of China, the University, or a third-party agency entrusted by the University, to supervise and inspect the management and the use of research funds in accordance with relevant national regulations and project plans.

Article 8. For those who do not manage and use the project funds in accordance with the Regulation, or who have illegal activities such as retaining, embezzlement or expenditures unrelated to research, they shall be seriously dealt with in accordance with the relevant laws and regulations, and shall be included in the list of scientific research misconduct.

Chapter VI Supplemental Article

Article 9. The Regulation comes into force upon promulgation. Office of Research and Sponsored Programs reserves the right to explain all the terms.