

温州肯恩大学办公室文件

温肯大办〔2018〕16号

温州肯恩大学办公室 关于印发《温州肯恩大学科研奖励办法 (2018年修订版)》的通知

各部门：

《温州肯恩大学科研奖励办法（2018年修订版）》已经校领导同意，现予印发。

温州肯恩大学办公室

2018年5月25日

温州肯恩大学科研奖励办法 (2018年修订版)

第一章 总则

第1条 为进一步调动广大教职员工作开展科学研究的积极性，鼓励和支持优秀学术论文出版、开展发明创造及实施科研项目，提升学校科研创新能力和学科建设水平，根据《关于进一步完善省财政科研项目资金管理政策的实施意见》（浙委办发〔2017〕21号）、《温州市科技项目经费管理办法》，结合学校实际，特制定本办法。

第2条 奖励经费主要来源于学校预算拨款、各类科研经费及社会捐赠。

第3条 奖励对象为温州肯恩大学全日制教职员工作。

第二章 学术论文奖励范围及标准

第4条 第一作者和通讯作者均为本校人员，且第一署名单位为温州肯恩大学所发表的学术论文，学校将按下列标准给予奖励。学术论文奖励标准如下：

级别	奖励标准(万元/篇)	具体对应数据库及期刊
顶级	60	Science, Nature, Cell
	15	影响因子在 10 分以上的 Science, Nature, Cell 子刊
权威级	5	SCI I 区收录论文; 《中国社会科学》
	2	SCI II 区收录论文; 《新华文摘》、《中国社会科学》全文转载; SSCI、AHCI 源期刊; 《浙江大学国内学术期刊名录》中的人文社科权威级学术期刊。
	0.8	SCI III 区收录论文。
一级	0.6	SCI IV 区收录论文; EI 收录期刊论文(不包括单页短文); 《浙江大学国内学术期刊名录》中的国内一级学术期刊。
二级 A	0.3	《浙江大学国内学术期刊名录》中的国内核心学术期刊; 《中国科学引文数据库(CSCD)》来源期刊; 《中文社会科学引文索引(CSSCI)》来源期刊。
二级 B	0.2	CSCD 扩展库; CSSCI 扩展版; 《中国人文社会科学核心期刊要览》(中国社科院); 《中文核心期刊要目总览》(北京大学)。
三级	0.1	ISTP、ISSHP 收录论文; EI 收录会议论文; 其他国内外公开出版的专业学术期刊(非科普刊物)论文、公开出版的论文集收录论文。

其他说明:

(一) 以通讯作者且温州肯恩大学为第一署名单位发表的论文, 按上述标准的 70% 进行奖励。以共同通讯作者且温州肯恩大学为署名单位发表的论文, 按上述标准的 35% 进行奖励。以第一作者且温州肯恩大学为第一署名单位发表的论文, 按上述标准的 50% 进行奖励。以共同第一作者且温州肯恩大学为署名单位发表的论文, 按上述标准的 25% 进行奖励。

(二) 国内出版的英文期刊与同名(或实质同名)的中文期刊同等对待。作者、篇名、内容基本相同的论文不重复奖励,以最高一档奖励计。学术期刊的增刊、专刊与网络版(SCI/SCIE/SSCI 期刊除外)不予奖励。

(三) SCI 收录论文的分区以中国科学院文献情报中心公布的最新 JCR 大类分区表为标准。其他数据库及期刊信息均以最新版本为准,时间以最新版本发布时间起执行。

(四) 第一作者、通讯作者分属本校不同人员的,以通讯作者为准,不重复奖励。国内人文社科类期刊论文以第一作者为准。

(五) 本校在读学生为第一作者的合作论文,若本校教师为通讯作者(含共同通讯作者)的,本校教师按标准的 100% 进行奖励。若学校出台关于学生论文奖励相关政策,则同一篇论文按就高原则给予奖励。

(六) 论文以最高档计算奖励标准,不重复计算。

(七) 学校每年为教职工提供最高为 1/3 的论文版面费或者论文出版费且总额不超过 500 美金的报销额度。该论文需发表在本办法所列的顶级、权威级和一级期刊上。已纳入各类科研项目资助的论文的出版费在项目科研经费中支付,不受以上限制。

第三章 发明创造奖励范围及标准

第5条 以温州肯恩大学作为专利权人或计算机软件著作权人，且所获专利（或软件著作权）和专利发明人（软件开发人）的专业或教学有关的，按以下标准给予奖励。

类型	奖励标准（万元/项）	备注
国际专利	4	获美国、日本、欧盟等国家授权的发明专利，同一发明专利最多奖励1个国家。
国内专利	1.5	国家知识产权局批准授权的发明专利
	0.3	国家知识产权局批准授权的实用新型专利
	0.1	国家知识产权局批准授权的外观设计专利
计算机软件著作权	0.1	国家版权局登记的计算机软件

第6条 学生为第一发明人，教师为第二发明人的职务发明创造，则以教师为第一发明人进行奖励。发明专利权属于多家单位共有的，根据协议规定按份共有，则根据份额奖励；如果没有协议规定，则按权利均等进行奖。授权发明专利获批时奖励40%，专利成果获得转化后奖励60%。

第四章 科研项目奖励范围及标准

第7条 以“温州肯恩大学”为项目第一主持单位的科研项目在结题验收后可申请科研项目奖励，奖励标准参照《温州肯恩大学科研项目经费使用管理办法（2018年修订版）》。

第五章 奖励申请批准程序

第 8 条 符合本奖励办法的个人，需提交申请表、已出版的学术论文稿、专利证书、计算机软件著作权证书、科研项目立项书、合同等材料至科研办公室。

第 9 条 科研办公室对申报材料进行审查，拟奖励项目申报学校教学副校长审定后公示。公示期内无异议的，学校以正式文件方式公布奖励信息。

第 10 条 论文、发明创造、科研项目为同一研究成果的，不重复计算，按就高原则予以奖励。

第 11 条 以上各类奖励由项目负责人根据参加人员的实际贡献进行分配，并将分配情况报科研办审核。

第 12 条 科研奖励按每年组织申报一次。未按时申报的，超过两年作自动放弃处理。

第 13 条 各类奖励的个人所得税缴纳按国家有关规定执行。

第 14 条 除在顶级期刊发表论文的奖励费用由学校教育发展基金会支出外，其余在学校日常运营经费中安排。学校日常运营经费承担的科研奖励年度预算金额按照每年实际预算情况确定，超过预算上限按比例折算进行奖励。

第六章 附则

第 15 条 获得各类奖励的个人或团队如存在剽窃、抄袭、仿冒、篡改、伪造等学术不端行为，一经核实，取消奖励并予以追回，并承担相关责任。

第 16 条 本办法未提到但对学校有重大贡献的科研成果及其他未尽事宜，经申请，由科研办公室提出具体方案，提交学校领导会议审议。

第 17 条 此前学校其他相关制度与本办法规定不一致的，以本办法为准。原《温州肯恩大学科研奖励办法（试行）》同时废止。

第 18 条 本管理办法于发布之日起开始实行，由科研办公室负责解释。

Wenzhou-Kean University Regulations for Rewards for Academic Research (2018 revised edition)

Chapter I

General Principles

Article 1 The regulations for rewards for academic papers, invention-creation and research projects are formulated to mobilize faculty and staff to carry out research at Wenzhou-Kean University (WKU), to encourage and support the publication of first-class academic papers, invention-creation, and improve the planning and implementation of research programs, to foster academic research, to promote the comprehensive research and educational strength, as well as to educate an excellent and diverse body of faculty and staff, according to *Opinions on the Implementation of Policies on Further Improving the Funds Management of Provincial Research Projects* (Zhejiang Provincial Committee Office [2017] No. 21), *Wenzhou Municipal Regulations on Funding for Research Programs*, and the actual situation.

Article 2 The main sources of rewards for academic research are from WKU research funding. The sources of major research rewards can be from WKU foundation.

Article 3 Full time faculty and staff at WKU can be rewarded for their academic research.

Chapter II

Scope and Standards for Academic Papers

Article 4 Eligibility

The applicant must be the first author (reprint author). Further, WKU must be the first affiliation of the academic paper. Standards of rewards are as follows:

Grade	Standard (yuan)	Notes
Top	600,000	Science, Nature, Cell
	150,000	Science, Nature, and Cell Series & Impact Factor >10
Authoritative	50,000	Papers included in SCI I and Social Sciences in China
	20,000	Papers included in SCI II Papers reprinted in Xinhua Digest and Social Sciences in China SSCI and AHCI source journals Papers included in national authoritative academic journals compiled by Zhejiang University
	1,500	Papers included in SCI III
First-Class	6,000	Papers included in SCI IV Papers included in EI, excluding single page essay Papers included in national first class academic journals compiled by Zhejiang University
Second Class A	3,000	Papers included in national key academic journals compiled by Zhejiang University Paper included in CSCD Paper included in CSSCI
Second Class B	2,000	Paper included in CSCD expand Paper included in CSSCI expand Paper included in Key journal of Chinese Humanities and Social Sciences and Key Chinese Core Periodicals (Peking University)

Third Class	1,000	Paper included in ISTP and ISSHP Paper included in EI conference academic papers Papers published in both domestic and foreign professional academic periodicals (non-science publications) that are not included in the above
-------------	-------	--

Note:

- (1) The full-time WKU faculty and staff who is the corresponding author and whose host institute is WKU is awarded 70% of the total amount. The full-time WKU faculty and staff who is the joint corresponding author and whose host institute is WKU is awarded 35% of the total amount. The full-time WKU faculty and staff who is the first author and whose host institute is WKU is awarded 50% of the total amount. The full-time WKU faculty and staff who is the joint first author and whose host institute is WKU is awarded 25% of the total amount.
- (2) Domestic periodicals in English are considered the same as periodicals in Chinese. The reward for academic papers shall not be counted twice, and the reward is subject to the maximum amount, if the authors, titles, and contents are very similar. With the exception of SCI/SCIE/SSCI, supplemental, special editions and network versions of academic periodicals are not counted.
- (3) SCI I- IV is divided based on the latest JCR category released by National Science Library, Chinese Academy of Science.
- (4) The reward for academic papers shall not be counted twice, and the reward is subject to the corresponding author if the first author and correspondence author are different faculty or staff at WKU. While the reward is subject to the first author in domestic journals of Humanities and Social Sciences.
- (5) The full-time WKU faculty and staff who is the corresponding author (including the joint corresponding author) and whose student is the first author is awarded 100% of the total amount. If the regulations on student academic research awards is promulgated, the reward for the same paper is subject to the maximum amount.

- (6) The rewards for academic papers shall not be counted twice, and the reward is subject to the maximum amount.
- (7) Each year WKU provides a maximum of one-third of the page charge or publication fee for faculty and staff, and the total amount does not exceed \$500. Besides, the paper must be published in the journals listed in the top, authoritative, and first-class. The publication fee of papers of sponsored projects is not subject to the above restrictions.

Chapter III

Scope and Standards for Invention-Creation

Article 5 WKU as the patentee or copyright owner, and the patent (or copyright) and inventor (software developer) professional or teaching-related. Standards of rewards for patent inventor and copyright designer are as follows:

Type of Patent	Standard (yuan/item)	Remarks
International Patent	40,000	Invention Patent issued by the United States Patent, Japan or the EU. The same invention patent can receive rewards for only one time.
Domestic Patent	15,000	Invention Patent issued by State Intellectual Property Office
	3,000	Utility Model Patent issued by State Intellectual Property Office

	1000	Design Patent issued by State Intellectual Property Office
Computer Science Copyright	1,000	Computer Science Copyright issued by National Copyright Administration

Article 6 The student is the first inventor and the faculty is the second inventor, the reward is subject to faculty as the first inventor. In case the patent right for invention belongs to a number of institutes, the co-owners by share enjoy the award according to the provisions of the agreement. If there is no agreement, the co-owner enjoy the award on the basis of equal rights. 40% of award is granted when the granted invention patent is granted, and 60% is awarded after the patented result is converted.

Chapter IV

Scope and Standards for Research Program

Article 7 Principal investigators can apply for awards for academic research projects of which the first host institution is WKU after conclusion and acceptance of the project. The criteria of awards refer to the *Wenzhou-Kean University Regulations on Funding for Research Programs* (2018 Revised Edition).

Chapter V

The Procedures for Approval of Rewards

Article 8 Applicants shall submit the application forms, published academic papers, patent certificates, computer software copyright certificates, accepted research project proposals, contracts and other materials to ORSP.

Article 9 The materials from each applicant shall be examined by the ORSP, evaluated and approved by The Vice Chancellor for Academic Affairs. The rewards will be officially approved when they do not have objection during 5-working-day announcement.

Article 10 Academic papers, inventions, and research projects for the same research achievement shall not be counted twice, and the reward is subject to the maximum amount

Article 11 All the rewards shall be distributed appropriately by the principal investigator or the first author according to the participants' contributions, which is required to be reported and approved by ORSP.

Article 12 Rewards for WKU academic papers and invention-creation are announced once a year. More than two years published academic papers or invention-creation are considered as waiver.

Article 13 The individual income tax on rewards shall be paid by awardees in accordance with the local and state regulations.

Article 14 With the exception of expenses for the top level periodicals by WKU Foundation, the rewards for academic research are arranged in the daily operating expense. The maximum budget for the reward is allocated according to the annual budget. If the reward exceed the budget, the academic research is rewarded on pro rata basis.

Chapter VI

Supplemental Article

Article 15 Any rewards for academic papers or invention-creation that are reported and verified to contain academic fraud, such as plagiarism, counterfeiting, tampering, forgery and other academic misconduct shall be rejected, and assume all responsibilities.

Article 16 Individual or team members can apply for the reward if their outstanding or significant research achievements are not mentioned in this document. The reward proposal can be put forward to ORSP and approved by the WKU leader team.

Article 17 Other provision that are inconsistent with *Wenzhou-Kean University Regulations for Rewards for Academic Research*, shall be subject to this one. The original *Wenzhou-Kean University Regulations for Rewards for Academic Research* shall be repealed when the new regulations enter into force.

Article 18 ORSP at WKU will be responsible for interpretation of this document. If there are any differences with the contents in this document, please take this regulation as the standard.